

10/534502

Docket No. 271729US0P

JC2005 Rec'd PCT/PTO 10 MAY 2005  
#2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Pierre CATINAT, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/12506

GAU:

FILED: Herewith

EXAMINER:

FOR: PROCESS FOR THE MANUFACTURE OF 1,2-EPOXY-3-CHLOROPROPANE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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10/534502

Docket No. 271729US0PCT

JC20 PCT/PTO 10 MAY 2005

IN RE APPLICATION OF: Jean-Pierre CATINAT, et al.

SERIAL NO: New U.S. PCT Application based on PCT/EP03/12506

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FOR: PROCESS FOR THE MANUFACTURE OF 1,2-EPOXY-3-CHLOROPROPANE

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

☒ No additional fee is required☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

☒ Additional documents filed herewith: Credit Card Payment Form for \$900.00/PCT Transmittal Letter/Application Data Sheet  
Preliminary Amendment (with cover sheet)/Notice of Priority  
Copy of Declaration of Inventorship under PCT Rule 4.17(iv)/PCT/IB/304  
Information Disclosure Statement/PTO-1449/Statement of Relevancy  
List of Related Cases/Cited References (8)/International Search Report

The Fee has been calculated as shown below:

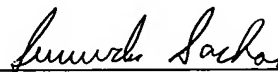
CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	10	MINUS	20	0	x \$50 =	\$0.00	
INDEPENDENT	1	MINUS	3	0	x \$200 =	\$0.00	
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$360 =	\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity					\$0.00
		<input type="checkbox"/> Recordation of Assignment				+ \$40 =	\$0.00
		TOTAL					\$0.00

☐ A check in the amount of \$0.00 is attached.☐ Credit card payment form is attached to cover the fees in the amount of \$0.00

☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 271729US0PCT		SERIAL NO. <b>10/534502</b> New U.S. Patent Application Based on PCT/EP03/12506	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Jean-Pierre CATINAT, et al.			
				FILING DATE Herewith		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,350,888	02/26/02	STREBELLE, Michel et al.			
	AB	2005/0054864	03/10/05	STREBELLE, Michel et al. Serial No.: 10/940,992			
	AC	2004/0068127	04/08/04	SCHOEBRECHTS, Jean-Paul et al. Serial No.: 10/220,277			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO	99/48882	09/30/99	WO(with English abstract)			NO
	AP	1 072 600	01/31/01	EP			NO
	AQ	1 085 017	03/21/01	EP			NO
	AR	96/03362	02/08/96	WO			NO
	AS	99/24164	05/20/99	WO			NO
	AT	99/28029	06/10/99	WO			NO
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Carlo VENTURELLO: "A New, Effective Catalytic System for Epoxidation of Olefins by Hydrogen Peroxide under Phase-Transfer Conditions" J. Org. Chem., Vol. 48, No. 21, pages 3831-3833, 1983. XP001012726					
	AX	Carlo VENTURELLO: "Quaternary Ammonium Tetrakis(diperoxotungsto)phosphates(3-) as a New Class of Catalysts for Efficient Alkene Epoxidation with Hydrogen Peroxide" J. Org. Chem., Vol. 53, No. 7, pages 1553-1557, 1988. XP002235620					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

New U.S. PCT Application Based on PCT/EP03/12506  
Docket No.: 271729US0PCT

STATEMENT OF RELEVANCY

- 1) References AO-AQ, AW and AX have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA and AR-AT are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
258833US0DIV	10/940,992	09/15/04	US2005/0054864 A1	STREBELLE, et al.
228011US0PCT	10/220,277	12/10/02	US2004/0068127 A1	SCHOEBRECHTS, et al.
245035US0PCT	10/476,879	11/13/03		CATINAT, et al.